

HECKSCHER, TEILLON, TERRILL & SAGER
A PROFESSIONAL CORPORATION

ATTORNEYS AT LAW

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INFORMATION FOR ESTATE AND TAX PLANNING

DATE: _____

PERSONAL INFORMATION

Husband's Name: _____

Wife's Name: _____

Home Address: (include county and township or borough)

Home Phone: _____

Home Fax: _____

Home E-Mail: _____

Husband

Wife

Social Security No. _____

Date of Birth: _____

Place of Birth: _____

Citizenship: _____

Business Address:

Business Phone: _____

Business Fax: _____

Business E-mail: _____

Social Security No. _____

Date of Birth: _____

Place of Birth: _____

Citizenship: _____

Business Address:

Business Phone: _____

Business Fax: _____

Business E-mail: _____

YOUR PARENTS (If deceased, please provide dates of death)

Husband's Parents

Wife's Parents

Names: _____

Names: _____

Address: _____

Address: _____

YOUR CHILDREN

	<u>Date of Birth</u>			<u>Address & SSN if Applicable</u> ¹
	<u>Month</u>	<u>Day</u>	<u>Year</u>	
1. Name: _____ Spouse: _____ Children: _____ _____	_____	_____	_____	_____
2. Name: _____ Spouse: _____ Children: _____ _____	_____	_____	_____	_____
3. Name: _____ Spouse: _____ Children: _____ _____	_____	_____	_____	_____
4. Name: _____ Spouse: _____ Children: _____ _____	_____	_____	_____	_____
5. Name: _____ Spouse: _____ Children: _____ _____	_____	_____	_____	_____

Clearly identify any children that are not children of both spouses, such as a child one of you had from a former marriage.

¹ Include address and social security numbers of family members if gifting program in place or anticipated.

FINANCIAL INFORMATION

Name(s) and telephone number(s) of accountant and/or other financial advisor, if any:

Estimated annual income: Husband: Wife:

Have you, or anyone else, established any separate fund for your children, grandchildren or other beneficiaries (e.g., UTMA acct, 529 plan account)? If so, please provide a description of each account, and the account owner, account beneficiary, custodian and value for each account (Ex: Trusty Invest Co.-UTMA for John Doe- \$15,000, Custodian- Jane Doe):

1. _____
2. _____
3. _____

	<u>YES</u>	<u>NO</u>
Do you or your spouse receive income from, or does either of you have any present or future interest in a trust? If so, please provide a copy of the trust instrument, if available.	_____	_____
Do you or your spouse expect to receive a significant inheritance in the foreseeable future from a family member or other individual? If so, please explain and provide expected value.	_____	_____
Have you or your spouse created a trust (2503(c)) trust, life insurance trust, etc.)? If so, please provide a copy of the trust instrument, if available.	_____	_____
Did you or your spouse make a gift of more than \$3,000 prior to December 31, 1976? If yes, please describe.	_____	_____
Did you or your spouse make a gift of more than \$3,000 (or gifts that totaled more than \$3,000) to any one recipient in any year after December 31, 1976? If yes, please describe.	_____	_____
Have you or your spouse ever filed one or more federal gift tax returns? If so, please provide copies of the returns, if available.	_____	_____
Do you or your spouse own any property jointly with someone other than your spouse? If so, please describe.	_____	_____
Do you or your spouse own any stock in a subchapter "S" corporation? If so, please describe.	_____	_____
Do you or your spouse have a pre-nuptial or post-nuptial agreement? If so, please provide a copy.	_____	_____
Have either you or your spouse been previously married? If so, please provide their name(s): _____.	_____	_____
Do either you or your spouse have any financial obligation to a former spouse or to children? If so, please describe.	_____	_____
Do you and your spouse have adequate liability insurance, including an umbrella policy?	_____	_____

ASSETS

It is important for us to know both the nature and the value of your assets, as well as the ownership as between spouses. Please insert in the appropriate column simple descriptions and approximate values of your assets. If any of the assets have a beneficiary designation ("POD" account, "TOD" account, "In Trust For" account, IRA, 401(k) etc.), please also identify the current beneficiary after the value of the asset. For example:

"First National Bank savings account - \$10,000"
 "Jewelry - \$5,000"

"Trustworthy Investments-IRA- \$300,000
 (beneficiary-spouse)"
 "Vacation home - Avalon, NJ - \$100,000"

Description	Owned by Husband	Owned by Wife	Jointly Owned (H-W)
Automobiles, furniture, personal effects			
Cash			
Marketable stocks and bonds			
Stock in closely held corporations, partnerships, other business interests			
Real estate (include location) 1. _____ (Residence) 2. _____ (Vacation home) 3. _____			
Pensions; Retirement Plans; Death Benefits; IRAs; Roth IRAs; 401(k)s (include beneficiary for each account)			
Other Assets (Describe): 1. _____ 2. _____ 3. _____			
Total			

LIFE INSURANCE

Face Amount	Name of Company	Type (Ordinary Life; Term)	Policy Owned By	Present Beneficiaries	Policy Loans (if any) and Cash Value (if any)
On Husband's Life:					
1. _____	_____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____	_____
On Wife's Life:					
1. _____	_____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____	_____
Owned by either Husband or Wife on another's life:					
1. _____	_____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____	_____

LIABILITIES

Description	Husband	Wife	Joint
Mortgage(s) (State amount outstanding and payee):			
Loans Payable (State amount outstanding and payee):			
Other Liabilities (Describe):			
Total			

FIDUCIARIES

It will be necessary for you to consider who should act as *executor* (the individual and/or bank or trust company who, upon your death, administers your estate, e.g., collects assets, pays debts, prepares tax returns, and distributes your net estate in accordance with the terms of your will), *trustee* (after your estate has been administered, the individual and/or bank or trust company who holds, invests and administers funds in further trust for your named beneficiaries on an ongoing basis for the term of the trust) and *guardian* of minor children (the individual who is designated to raise your minor children and make all medical and personal care decisions on their behalf), as well as who should act as such if your first choice cannot serve. Note that more than one individual can be appointed to serve at a time in these roles in which case they will serve together. We will discuss the roles of these various individuals and your potential choices at the initial estate planning meeting. In the meantime, please indicate your preliminary choices below, if possible.

Husband's Will

Wife's Will

Proposed executor(s):

Proposed substitute executor(s):

Proposed trustee(s):

Proposed substitute trustee(s):

Proposed guardian(s) of minor children:

Proposed substitute guardian(s):

MISCELLANEOUS

List here any additional facts which you believe may be relevant to your estate planning:

